



## UNITED STATES DEPARTMENT OF COMMERCE

## Patent and Trademark Office

Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

Sector 18

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
09/385,918	08/30/99	HOEKSTRA	M 860098.433

0262/0928

ANN T. KADLECEK  
SEED AND BERRY LLP  
6300 COLUMBIA CENTER  
701 FIFTH AVENUE  
SEATTLE WA 98104-7092

NOT ASSIGNED

1643

DATE MAILED:

09/28/99

## NOTICE TO FILE MISSING PARTS OF APPLICATION

## Filing Date Granted.

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) of \$65.00 for a small entity in compliance with 37 CFR 1.27, or \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a

☒ small entity (statement filed) ☐ non-small entity is \$ 2650

☒ 1. The statutory basic filing fee is:

- ☐ missing.  
☐ insufficient.

Applicant must submit \$ 760 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

☒ 2. The following additional claims fees are due:

\$ 648 for 36 total claims over 20.

\$ 858 for 11 independent claims over 3.

\$ 260 for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

☒ 3. The oath or declaration:

- ☒ is missing or unsigned.  
☐ does not cover the newly submitted items.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

☐ 8. The application was filed in a language other than English.

Applicant must file a verified English translation of the application, the \$130.00 set forth in 37 CFR 1.17(k), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).

☐ 9. OTHER:

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

**A copy of this notice MUST be returned with the reply.**

*For Walker*

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

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PATENT

I hereby certify that on the date specified below, this correspondence is being deposited with the United States Postal Service as first-class mail in an envelope addressed to Box Missing Parts, Assistant Commissioner for Patents, Washington, DC 20231.

February 23, 2000  
Date

Judith A. Breaks  
Judith A. Breaks

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Merl F. Hoekstra et al.  
Application No. : 09/385,918  
Filed : August 30, 1999  
For : METHODS FOR MODULATING SIGNAL TRANSDUCTION  
MEDIATED BY TGF- $\beta$  AND RELATED PROTEINS

Art Unit No. : 1643  
Docket No. : 860098.433  
Date : February 23, 2000

Box Missing Parts  
Assistant Commissioner for Patents  
Washington, DC 20231

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

Assistant Commissioner for Patents:

In response to the Notice to File Missing Parts dated September 28, 1999, please find enclosed a Declaration and Power of Attorney, Form PTO-1533, and a Verified Statement Claiming Small Entity Status for the above-identified application.

Also enclosed is a General Authorization under 37 C.F.R. § 1.136(a)(3).

Please find enclosed a Petition for an Extension of Time, extending the time for response from November 28, 1999 to February 28, 2000.

Also enclosed is a check in the amount of \$1,710 for the requisite fees. The fees have been calculated in accordance with applicants' small entity status.

The fees have been calculated as follows:

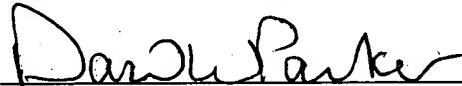
Basic Fee	\$ 345
Total Claims (54, 34 extra)	306
Independent Claims (14, 11 extra)	429
Multiple Dependent Claims Surcharge	130
Missing Parts Surcharge	65
Total	\$ 1,275
Extension-of-Time Fee	435
TOTAL	\$ 1,710

The Assistant Commissioner is hereby authorized to charge any additional filing fees or to credit any overpayment to Deposit Account No. 19-1090. A duplicate copy of this response is enclosed.

Respectfully submitted,

Merl F. Hoekstra et al.

Seed Intellectual Property Law Group PLLC



David W. Parker

Registration No. 37,414

DWP:jabl

Enclosures:

Postcard

Check

Copy of this Response

Declaration and Power of Attorney

Verified Statement Claiming Small Entity Status

Copy of Form PTO-1533

General Authorization Under 37 C.F.R. § 1.136(a)(3)

Petition for an Extension of Time (+ 2 copies)

701 Fifth Avenue, Suite 6300  
 Seattle, Washington 98104-7092  
 Phone: (206) 622-4900  
 Fax: (206) 682-6031

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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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Application No. : 09/385,918  
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Assistant Commissioner for Patents  
Washington, DC 20231

GENERAL AUTHORIZATION UNDER 37 C.F.R. § 1.136(a)(3)

Assistant Commissioner for Patents:

With respect to the above-identified application, the Assistant Commissioner is authorized to treat any concurrent or future reply requiring a petition for an extension of time under 37 C.F.R. § 1.136(a)(3) for its timely submission as incorporating a petition therefor for the appropriate length of time. The Assistant Commissioner is also authorized to charge any fees which may be required, or credit any overpayment, to Deposit Account No. 19-1090.

Respectfully submitted,

Merl F. Hoekstra et al.

Seed Intellectual Property Law Group PLLC

David W. Parker  
Registration No. 37,414

ATK:jab1

701 Fifth Avenue, Suite 6300  
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